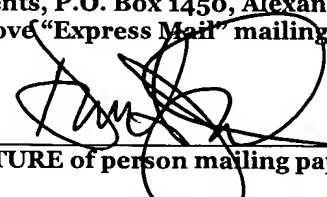




IFM

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10	
"EXPRESS MAIL" mailing label number:	
Date of Mailing: October 12, 2004	ED329873008US
I hereby certify that I have caused the documents indicated below to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number	
David H. Judson	
Typed or printed name of person mailing paper or fee	SIGNATURE of person mailing paper or fee

Date: October 12, 2004

Phone: 972-764-5221

Internet Address
mail@davidjudson.com

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

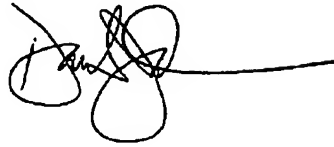
Re: Serial No. 10/780,252
A Method and Apparatus to Detect Unauthorized Information Disclosure via Content Anomaly Detection
Applicants: Pratyush Moghe
Attorney No: Tizer 001

Dear Sir:

This submission responds to the Notice of Incomplete Nonprovisional Application that was mailed August 10, 2004. A timely response to the Notice is made today, as the period for response has been extended through October 12, 2004, pursuant to 37 C.F.R. § 1.7(b).

A Power of Attorney has been included to appoint the undersigned as attorney of record.

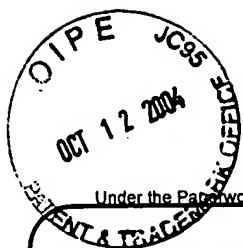
Respectfully submitted,

A handwritten signature in black ink, consisting of a stylized 'D' and 'J' followed by a horizontal line.

By:

David H. Judson, Reg. No. 30,467

David H. Judson
15455 N. Dallas Parkway
Suite 600
Addison, Texas 75001
972-764-5221
253-369-3141 (fax)



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/780,252

Filing Date February 17, 2004

First Named Inventor Moghe

Art Unit

Examiner Name

Attorney Docket Number Tizor - 001

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <i>Preliminary Amendment</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Substitute Specification
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Supplemental Declaration
	<input type="checkbox"/> Landscape Table on CD	Copy of Formalities Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	<i>Substitute Drawings</i>
<input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application		
<input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Law Office of David H. Judson		
Signature			
Printed name	David H. Judson		
Date	October 12, 2004	Reg. No.	30,467

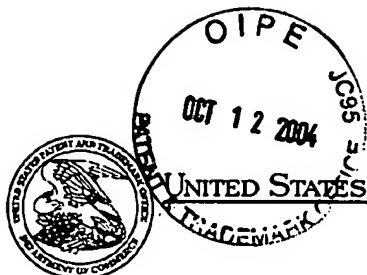
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	SEE ATTACHED EXPRESS MAIL CERTIFICATE		
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/780,252	02/17/2004	Pratyush Moghe	

PRATYUSH MOGHE
 80 ROBERT RD
 STOW, MA 01775

CONFIRMATION NO. 9651

FORMALITIES LETTER



OC000000013497481

Date Mailed: 08/10/2004

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - The specification contains drawings or flow diagrams (37 CFR 1.58(a)) on page(s) 7,9,11,13,14,15,17. Drawings or flow diagrams cannot be embedded in the specification and should be submitted separately in accordance with 37 CFR 1.84. (Both a substitute specification and substitute drawings are required).

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents

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P.O. Box 1450
Alexandria VA 22313-1450

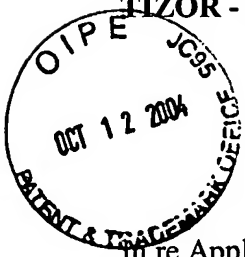
A copy of this notice MUST be returned with the reply.

2134
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TIZOR - 001

PATENT

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pratyush Moghe

Serial No.

10/780,252

Filing Date:

February 17, 2004 (pending)

Title:

A METHOD AND APPARATUS TO DETECT
UNAUTHORIZED INFORMATION DISCLOSURE
VIA CONTENT ANOMALY DETECTION

MAIL STOP MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

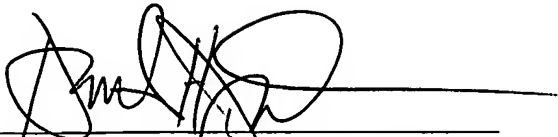
In response to the Notice mailed August 10, 2004, Applicant hereby submits (a) a Preliminary Amendment that includes a substitute specification in compliance with 37 C.F.R. 1.52, 1.121(b)(3) and 1.125, (b) a Supplemental Declaration and Power of Attorney executed by the named inventor, and (c) a copy of the Formalities Letter. The Formalities Letter did not identify any requirement for payment of a fee in connection with this particular response.

The Notice made reference to the potential filing of a Petition to afford the application the original filing date. Such a Petition is being prepared and will be filed under separate cover following the submission of this Response.

A two (2) month response deadline was provided in this Notice. Under 37 CFR 1.7(b), the date for response was October 10, 2004, and that date has been extended up to and including October 12, 2004 as a result of the intervening weekend and Columbus Day federal holiday.

Respectfully submitted,

By:



David H. Judson, Reg. No. 30,467

ATTORNEY FOR APPLICANT

David H. Judson
15455 N. Dallas Parkway
Suite 600
Addison, Texas 75001
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253-369-3141 (fax)